



# Privacy Policy

At GEN Financial Management, we value the trust you have placed in us and we intend to continue to earn your trust each day.

We are committed to safeguarding the confidential information of our clients and hold all personal information provided to us in strict confidence. We guard against any infringements of rights of privacy.

Our privacy policy applies to all clients with whom we have a relationship and is also extended to each of our former clients.

## Our Pledge to You

### Information we collect

The nonpublic personal information we obtain concerning a client depends upon the scope of the client engagement. This information may include personal financial information from personal interviews or our Personal Fact Finder, estate planning information and other financial transaction information.

### We limit how and with whom we share information

We do not disclose any nonpublic, personal information we receive from you to service providers or third parties except on the limited basis permitted by law, for example, in response to a subpoena, to prevent fraud, and to comply with rules of, or inquiries from, industry regulators. We do not share medical information provided to us, except for the purposes you have authorized.

### Safeguards to ensure information security

We maintain a secure office and computer environment to ensure that nonpublic personal information is not placed at unreasonable risk. We limit access to nonpublic personal information only to those employees, investment advisors, and other individuals who may need it to complete their jobs.

Our employees are bound by a Code of Ethics and have signed confidentiality agreements requiring confidential treatment of customer information. Employees are subject to disciplinary action if they fail to follow the Code of Ethics.

### *In Summary*

Be assured that you can count on us to protect the privacy and security of your customer information and to provide you with the responsive, professional service you deserve. GEN Financial Management, Inc. is committed to maintaining your trust.